



**CERTIFICATION REGARDING RESTRICTIONS ON LOBBYING  
(Proposals Greater than \$100,000)**

Proposal Title

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Proposal Number and/or Date \_\_\_\_\_

The above referenced proposal was submitted in response to: (select one)

\_\_\_\_\_ **The ONR Broad Agency Announcement (BAA) #10-001, as published in FedBizOpps on 18 Sep 09.**

\_\_\_\_\_ Other BAA (List Solicitation No., Project Title, and Date of Issuance):  
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Applicants should review the instructions for certification requirement under 32 CFR Part 28, "New Restrictions on Lobbying." 32 CFR Part 25, The certification shall be treated as a material representation of fact upon which reliance will be placed when the Office of Naval Research determines to award the covered transaction, grant, or cooperative agreement.

**CERTIFICATION REGARDING LOBBYING ACTIVITIES**

The following certification applies only to actions exceeding \$100,000:

Section 1352, Title 31, U.S.C. (PL 101-121, Section 319) entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions."

(1) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S.C. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

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As the duly authorized representative of the applicant, I hereby make the above certifications on behalf of the applicant.

Applicant Institution:

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Taxpayer Identification Number (TIN):

Printed Name, Title and Signature of Authorized Representative: X

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Date: \_\_\_\_\_